

**Artificial Intelligence**

Game Playing



Mountains & Minds 1

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**Game Playing as Search**

- State-space search assumes single agent searching in a fixed environment
- Game search introduces one or more additional agents
- Actions of all agents affect the environment
- For our discussions, we will assume only two players



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**Games vs. Search Problems**

- “Unpredictable” opponent means solution is a “contingency plan.”
- Time limits restrict ability to search for goal prior to play—need to approximate.
- Plan of attack
  - Algorithm for perfect play (von Neuman)
  - Finite horizon, approximate evaluation (Shannon, Samuel)
  - Pruning to reduce cost (McCarthy)



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## Types of Games

- Perfect information games
  - Complete, unambiguous state description.
  - Complete, predictable knowledge of result of actions.
  - Assumes players alternate moves.
  - Examples: chess, checkers, othello.
- Imperfect information games
  - Ambiguity in state description.
  - Non-determinism in actions
  - Presence of chance player
  - Examples: poker, backgammon

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## Rational Play?



The Princess Bride

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## Non-Cooperative Games

- **Def:** A *non-cooperative game* is given by
  - A non-empty set,  $P$ , of players
  - The non-empty strategy spaces of the individual players  $\{S_p \mid p \in P\}$
  - The set of real-valued payoff functions of the individual players  $\{f_p \mid p \in P\}$  where

$$f_p : S \rightarrow \mathfrak{R}$$

$$S = \prod_{p \in P} S_p$$

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## Non-Cooperative Games

- $P$  is a set of players, typically of size 2.
- $S_p$  is the strategy space (i.e., the set of *pure strategies*) for player  $p$ .
- $S$  is the situation space for the game.
- A situation is the set of strategies, one for each player, in a play in the game.
- The function  $f_p(s)$  is the payoff to player  $p$  given situation  $s$ .

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## Constant-Sum Games

- A game is *constant-sum* if there exists a constant,  $c \in \mathfrak{R}$ , such that

$$\sum_{p \in P} f_p(s) = c$$

- When  $c = 0$ , the game is called a *zero-sum* game.
- All constant-sum games can be made into zero-sum games by factoring out  $c$ .

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## Games in Normal Form

Bimatrix Game		
$p_1/p_2$	$s_{2,1}$	$s_{2,2}$
$s_{1,1}$	$f_1(s_{1,1},s_{2,1})/f_2(s_{1,1},s_{2,1})$	$f_1(s_{1,1},s_{2,2})/f_2(s_{1,1},s_{2,2})$
$s_{1,2}$	$f_1(s_{1,2},s_{2,1})/f_2(s_{1,2},s_{2,1})$	$f_1(s_{1,2},s_{2,2})/f_2(s_{1,2},s_{2,2})$
Matrix Game		
$p_1/p_2$	$s_{2,1}$	$s_{2,2}$
$s_{1,1}$	$f_1(s_{1,1},s_{2,1})$	$f_1(s_{1,1},s_{2,2})$
$s_{1,2}$	$f_1(s_{1,2},s_{2,1})$	$f_1(s_{1,2},s_{2,2})$

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## Prisoner's Dilemma

- Two crooks are caught by the police.
- They are immediately segregated and interrogated.
- The police want a confession on a serious charge.
- They have evidence to convict each on a lesser charge.
- Each is approached with the same proposal.

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## The Proposal

- Conviction on the lesser charge will bring  $x$  years in prison.
- Conviction on the greater charge will bring  $y > x$  years in prison.
- The prisoner can confess and implicate the other.
- If one confesses, and the other doesn't the one that cooperates will spend  $z < x$  years in prison—the other gets  $y$  years.
- If both confess, then they each get  $w$  years in prison, where  $x < w < y$ .
- What should the prisoner do?

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## Prisoner's Dilemma

	Cooperate (Deny)	Defect (Confess)
Cooperate (Deny)	2/2	10/1
Defect (Confess)	1/10	5/5

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## Stone-Paper-Scissors

	Stone	Paper	Scissors
Stone	0	-1	1
Paper	1	0	-1
Scissors	-1	1	0

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## Extensive-Form Games

- Suppose players alternative moves.
- Represent the game as a tree with each node corresponding to a state in the game.
- Apply the payoff at the leaves (usually)
- Each level of the tree is a *ply*.
- Examples:
  - Tic-Tac-Toe, Chess, Checkers

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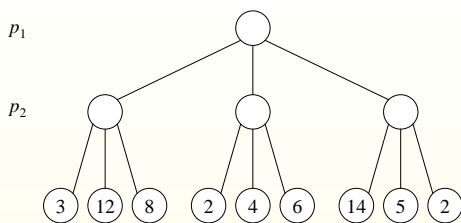
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## Game Trees




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## Standard Form

- **Claim:** All extensive form games can be converted to normal form games.
- How?
- Practical?

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## Solving Games



A Beautiful Mind

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## Solving Games

- The objective of search in game playing is to determine strategies such that payoff is maximized.
- **Def:** For any player  $p$ , any strategy  $d_p \in S_p$ , and any situation  $s = \{s_q \mid q \in P\}$ , let  $s \parallel d_p$  (called the *unilateral defection* from  $s$  by player  $p$ ) denote the member of  $S$  obtained by replacing the  $p^{\text{th}}$  component of  $s$  by  $d_p$ .

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## Nash Equilibrium Point

- **Def:** Situation  $s \in S$  is *admissible* for  $p \in P$  (denoted  $s \in A_p(\Gamma)$ ) if for all  $d_p \in S_p$ , we have  $f_p(s|d_p) \leq f_p(s)$ . In other words,  $s$  is admissible for  $p$  if and only if  $p$  has no profitable unilateral defection from  $s$ .
- **Def:** Situation  $s$  is a *Nash equilibrium point* for  $\Gamma$  if it is admissible for *all* players, i.e.,  $EP(\Gamma) = \bigcap_{p \in P} A_p(\Gamma)$ .
- What if  $EP(\Gamma) = \emptyset$ ?

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## Mixed Strategies

- Let us enlarge the situation space,  $S$ , by expanding the strategy spaces  $S_p$ .
- Consider  $\sigma_p \in \Sigma_p$  which corresponds to the set of probability *mixtures* of  $S_p$  (i.e., the set of probability distributions over  $S_p$ ).
- **Def (loose):** A game of perfect information is a game in extensive form where the state is unambiguous.

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## Two Theorems

- **Theorem 1:** Every finite normal-form game has a mixed strategy equilibrium point (Nash).
  - This handles normal-form games.
- **Theorem 2:** Every finite game of perfect information has a pure strategy equilibrium point (Zermelo)
  - This handles extensive-form games.

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## Solving Matrix Games

- Recall the prisoner's dilemma

	Cooperate (Deny)	Defect (Confess)
Cooperate (Deny)	2/2	10/1
Defect (Confess)	1/10	5/5

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## Identifying the Equilibrium

- Pure strategy equilibrium
  - Consider mixed later
- Dominance
  - Dominance solvable
  - Only one dominant strategy
- Successive elimination of dominated strategies
- Cell-by-cell inspection

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## Dominant Strategy

- A strategy that outperforms all other choices no matter what opposing players do
- Player 1's strategies: { A, B, C }
- Player 2's strategies: { X, Y, Z }
- C is strictly dominant for Player 1 if:
  - $f(C, X) > f(A, X)$        $f(C, X) > f(B, X)$
  - $f(C, Y) > f(A, Y)$        $f(C, Y) > f(B, Y)$
  - $f(C, Z) > f(A, Z)$        $f(C, Z) > f(B, Z)$
- C is weakly dominant for Player 1 if:
  - Some inequalities are weak ( $\geq$ ), at least one is strong ( $>$ )

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## Dominance Solvable

### Commandment

If you have a dominant strategy, use it.  
 Expect your opponent to use his or her dominant strategy if she has one.

- If each player has a dominant strategy, the game is *dominance solvable*

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## An Economic Game

- Two firms (magazine publishers) competing over sales
- *Time* and *The Economist* must decide upon the cover story to run some week.
- The big stories of the week are:
  - A presidential scandal (labeled S), and
  - A proposal to deploy US forces to Grenada (G)
- Neither knows which story the other magazine will choose to run

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## One Dominant Strategy

		The Economist	
		G	S
Time	S	100 , 100	0 , 90
	G	80 , 100	80 , 90

- Who has a dominant strategy?
- Assume it will be played!
- Other player can plan accordingly.

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## Dominated Strategies

		The Economist	
		G	S
Time	S	100 , 100	0 , 90
	G	80 , 100	80 , 90

- Dominated Strategy:
  - There exists another strategy which always does better regardless of opponents' actions
- For *The Economist*:
  - dominant = S dominated

G

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## Successive Elimination

- If a strategy is dominated, eliminate it.
- The size and complexity of the game is reduced.
- Eliminate any dominated strategies from the reduced game.
- Continue doing so successively.

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## Example: Tourists and Natives

- Two bars (bar 1, bar 2) compete
- Can charge price of \$2, \$4, or \$5
- 6000 tourists pick a bar randomly
- 4000 natives select the lowest price bar

		Bar 2		
		\$2	\$4	\$5
Bar 1	\$2	10 , 10	14 , 12	14 , 15
	\$4	12 , 14	20 , 20	28 , 15
	\$5	15 , 14	15 , 28	25 , 25

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## Successive Elimination

- Does any player have a dominant strategy?
- Does any player have a dominated strategy?
  - Eliminate the dominated strategies
  - Reduce the normal-form game
  - Iterate the above procedure
- What is the equilibrium?

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## Successive Elimination

		Bar 2		
		\$2	\$4	\$5
\$2		10	10	14
Bar 1 \$4		12	14	20
\$5		15	14	15
		20	28	15
		15	28	25

		Bar 2		
		\$4	\$5	
Bar 1 \$4		20	28	15
\$5		15	28	25

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## No Dominated Strategies

- Often there are no dominated strategies.
  - Or: reducing the game is not sufficient.
- There may be multiple equilibria.
- Method:
  - Cell-by-cell inspection
- Ask:
  - Is each player playing the best response to the other player?

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## Solving Matrix Games

- Recall stone-paper-scissors

	Stone	Paper	Scissors
Stone	0	-1	1
Paper	1	0	-1
Scissors	-1	1	0

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## Stone-Paper-Scissors

- This game has no pure-strategy equilibrium point.
- The game does have a mixed-strategy equilibrium point, which may be apparent.
- Specifically, select any of the strategies (regardless of player) with probability 0.33.
- How do we find this?

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## Employee Monitoring

- Employees can work hard or shirk
  - Salary: \$100K unless caught shirking
  - Cost of effort: \$50K
- Managers can monitor or not
  - Value of employee output: \$200K
  - Profit if employee doesn't work: \$0
  - Cost of monitoring: \$10K

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## Employee Monitoring

		Manager	
		Monitor	No Monitor
Employee	Work	50 , 90	50 , 100
	Shirk	0 , -10	100 , -100

- Best replies do not correspond
- No equilibrium *in pure strategies*
- What do the players do?

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## Finding Mixed Strategies

- Suppose:
  - Employee chooses (shirk, work) with probabilities  $(p, 1-p)$
  - Manager chooses (monitor, no monitor) with probabilities  $(q, 1-q)$
- Find expected payoffs for each player
- Use these to calculate best responses

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## Employee's Payoff

- First, find employee's expected payoff from each pure strategy
- If employee works: receives 50  

$$E_e(\text{work}) = 50 \times q + 50 \times (1 - q)$$

$$= 50$$
- If employee shirks: receives 0 or 100  

$$E_e(\text{shirk}) = 0 \times q + 100 \times (1 - q)$$

$$= 100 - 100q$$

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## Employee's Best Response

- Next, calculate the best strategy for possible strategies of the opponent
- For  $q < 1/2$ : SHIRK  
 $E_e(\text{shirk}) = 100 - 100q > 50 = E_e(\text{work})$
- For  $q > 1/2$ : WORK  
 $E_e(\text{shirk}) = 100 - 100q < 50 = E_e(\text{work})$
- For  $q = 1/2$ : INDIFFERENT  
 $E_e(\text{shirk}) = 100 - 100q = 50 = E_e(\text{work})$

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## Manager's Best Response

- $E_m(\text{mntr}) = 90 \times (1 - p) - 10 \times p$
- $E_m(\text{no mntr}) = 100 \times (1 - p) - 100 \times p$
- For  $p < 1/10$ : NO MONITOR  
 $E_m(\text{mntr}) = 90 - 100p < 100 - 200p = E_m(\text{no mntr})$
- For  $p > 1/10$ : MONITOR  
 $E_m(\text{mntr}) = 90 - 100p > 100 - 200p = E_m(\text{no mntr})$
- For  $p = 1/10$ : INDIFFERENT  
 $E_m(\text{mntr}) = 90 - 100p = 100 - 200p = E_m(\text{no mntr})$

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## Mixed Strategy Equilibrium

- Employees shirk with probability 1/10
- Managers monitor with probability 1/2
- Expected payoff to employee:

$$\frac{1}{10} \left[ \frac{1}{2} 0 + \frac{1}{2} 100 \right] + \frac{9}{10} \left[ \frac{1}{2} 50 + \frac{1}{2} 50 \right] = 50$$

- Expected payoff to manager:

$$\frac{1}{2} \left[ \frac{9}{10} 90 - \frac{1}{10} 10 \right] + \frac{1}{2} \left[ \frac{9}{10} 100 - \frac{1}{10} 100 \right] = 80$$

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## Properties of Equilibrium

- Both players are indifferent between any mixture over their strategies
- E.g. Consider employee strategies given mixed strategy for employer:
  - If shirk:  $[\frac{1}{2}0 + \frac{1}{2}100] = 50$
  - If work:  $[\frac{1}{2}50 + \frac{1}{2}50] = 50$
- Regardless of what employee does, expected payoff is the same

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## Indifference

	1/2	1/2	
	Monitor	No Monitor	
9/10 Work	50 , 90	50 , 100	= 50
1/10 Shirk	0 , -10	100 , -100	= 50
	= 80	= 80	

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## Linear Programming

**Primal** Let  $f^\pi$  be the expected value of the game  
 minimize  $f^\pi$  subject to:  
 $\sum_{s_1 \in S_1} p(s_1) = 1$ , where  $p(s_1) \geq 0$   
 $\forall s_2 \in S_2, \sum_{s_1 \in S_1} p(s_1) f(s_1, s_2) - f^\pi \leq 0$

**Dual** maximize  $f^\pi$  subject to:  
 $\sum_{s_2 \in S_2} p(s_2) = 1$ , where  $p(s_2) \geq 0$   
 $\forall s_1 \in S_1, \sum_{s_2 \in S_2} p(s_2) f(s_1, s_2) - f^\pi \geq 0$

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## Solving Large Games



2001 A Space Odyssey

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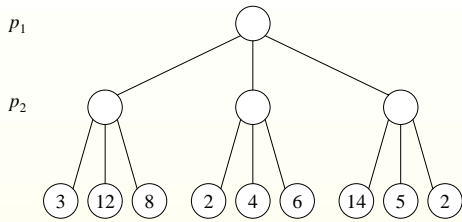
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## Game Trees



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## Game Trees



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### Game Trees

→ 1 ply

MONTANA STATE UNIVERSITY Mountains & Minds 49

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### Game Trees

→ 1 move

MONTANA STATE UNIVERSITY Mountains & Minds 50

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### Solving Game Trees

- These are the most common types of games studied in artificial intelligence.
- In theory, you can expand the game tree until termination and then propagate the outcome up the tree.
- Approach used is the *minimax* algorithm (assuming zero-sum game).
- *Negamax* is similar except “payoff” is negated with each ply.

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## Minimax

- Create a start node as MAX node with current configuration.
- Expand tree
- Evaluate leaves
- Back up values, alternating between MIN and MAX until root is reached.
- Select move whose backed up value is value at root.

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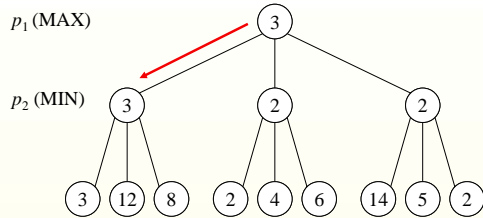
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## Minimax Example



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## Properties of Minimax

- Completeness: Minimax is complete if the tree is finite.
- Optimality: Minimax is optimal if playing against an optimal opponent.
- Time Complexity:  $O(b^m)$
- Space Complexity:  $O(bm)$ , assuming depth-first exploration.
- Note, for chess,  $b \approx 35$  and  $m \approx 100$  for "reasonable" games.

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## Problems

- Interesting games have too many states to expand to the leaves.
- Number of nodes to expand is exponential in the depth of the tree and the branching factor.

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## Solutions

- Apply a heuristic evaluation function to estimate outcome without full expansion of the tree (like A\*).
- Apply a cut-off procedures to prevent searching all of the branches of the tree (like A\*).

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## Alpha-Beta Pruning

- Adapts minimax to consider the values of the nodes and whether exploration down a branch could possibly be fruitful.
- Proceeds from the following idea:
  - If you have an idea that is surely bad, don't take the time to see how truly awful it is (Pat Winston).

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## A Simple Example

- Consider a situation where we are searching a game tree to depth 2 and the children are visited left-to-right.
- Suppose we have just visited the second child of “min” off of the second child of “max” and have computed the evaluation function to be 20.

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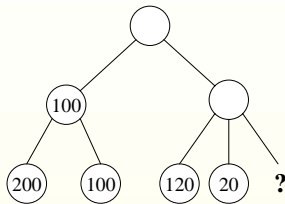
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## A Simple Example



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## A Simple Example

- Now we are considering whether or not to generate the third child.
- Note that the first “min” child of “max” has a value of 100.
- For “max” to select a move given by the second child, that child must have “min” value *greater than* 100.

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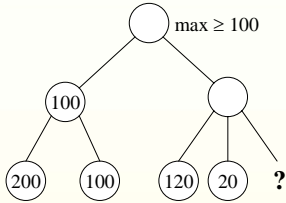
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## A Simple Example



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## A Simple Example

- But one of the children of “min” has been found to have value 20.
- We know that min will *not* select a node worse than (i.e., higher than) 20; therefore, further expanding this branch for “max” is futile.
- This line of reasoning sets up the *alpha-beta* procedure

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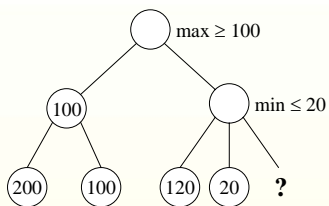
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## A Simple Example



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## Alpha-Beta Procedure

- Traverse the game tree in depth-first, left-to-right order.
- Assuming we stop the search at ply  $d$ , then at each of the nodes at that depth, we apply the static evaluation function.
- This value will be propagated up the game tree as in minimax.


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## Alpha-Beta Procedure

- At each non-leaf node, store two values—  $alpha$  and  $beta$ .
- Let  $alpha$  be the best (i.e., maximum) value found so far at a “max” node.
- Let  $beta$  be the best (i.e., minimum) value found so far at a “min” node.
- Note  $alpha$  is monotonically non-decreasing and  $beta$  is monotonically non-increasing as you travel up the tree.


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## Alpha-Beta Procedure

- Initially assign  $alpha = -\infty$  and  $beta = \infty$  at the root.
- Given a node  $n$ , cut off the search below that node (i.e., generate no more children) if
  - $n$  is a “max” node and  $alpha(n) \geq beta(i)$  for some “min” ancestor  $i$  of  $n$ , or
  - $n$  is a “min” node and  $beta(n) \leq alpha(j)$  for some “max” ancestor  $j$  of  $n$ .


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## Alpha-Beta Procedure

- To avoid searching for the ancestor nodes to evaluate the above tests, we can carry *down* the tree the best values found so far at the ancestor.
  - At a “max” node  $n$ ,  $\beta$  is the minimum of the  $\beta$  values at “min” node ancestors found so far.
  - At a “min” node  $n$ ,  $\alpha$  is the maximum of the  $\alpha$  values at “max” node ancestors found so far.

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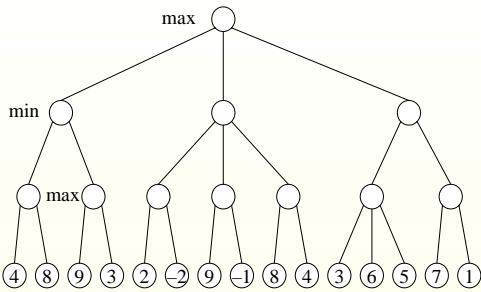
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## A Longer Example




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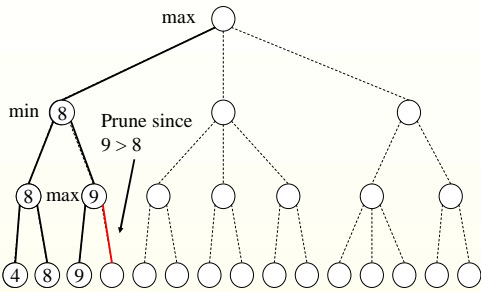
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## A Longer Example




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## Alpha-Beta Summary

- *Alpha-beta* is guaranteed to compute the same minimax value for the root node as straight minimax (can you prove this?)
- Worst case—*alpha-beta* does no pruning and examines  $O(b^m)$  leaf nodes
- Best case—*alpha-beta* examines only  $O(2b^{m/2})$  leaf nodes thus enabling twice the depth to be searched.

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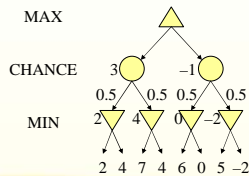
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## Nondeterministic Games

- Games such as backgammon use dice (the chance player) to determine legal moves.
- Here is a simplified example with coin-flipping instead of dice-rolling.




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## Solving Nondeterministic Games

- Expectimax gives perfect play.
 
$$Expectimax(C) = \sum_i P(d_i) \max_{s \in S(C, d_i)} (utility(s))$$
- It is just like Minimax, except we must also handle chance nodes.
  - ...
  - if *state* is a chance node **then**
  - **return** average of Expectimax-Value of Succ(*state*)
  - ...
- A version of  $\alpha$ - $\beta$  pruning is also possible, but only if the leaf nodes are bounded.

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## Complexity of Expectimax

- If the player knew in advance all the dice rolls that would occur, the game would reduce to minimax.
- Since Expectimax also considers all possible dice rolls, it will take  $O(b^m n^m)$ , where  $n$  is the number of distinct rolls.
- Thus, dice rolls effectively increase the branching factor: 21 possible unique rolls with 2 dice.
- Consider backgammon with about 20 legal moves:
  - depth 4 =  $20 \times (21 \times 20)^3 \approx 1.2 \times 10^9$
- As depth increases, probability of reaching a given node shrinks accordingly. Thus the value of look-ahead is diminished.

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## State of the Art in Games

- Chess
  - Received largest share of attention in computer game research.
  - Deep Blue defeated Gary Kasparov in 6-game match in 1997.
  - Deep Blue searches 200 million positions per second.
  - Deep blue has a highly sophisticated evaluation function.
  - At times, look-ahead reaches 40-ply.

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## State of the Art in Games

- Checkers
  - In 1952, Arthur Samuel created learning program to play checkers—achieved reasonably good play.
  - Chinook uses  $\alpha$ - $\beta$  and a large database for 8-piece positions with perfect play.
  - Chinook won 1992 US Open and was declared world champion in 1994 when champion, Marion Tinsley, withdrew for health reasons.
  - Recent announcement that Chinook database of moves is now “unbeatable.”

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## State of the Art in Games

- Othello
  - Widely studied as a computer game.
  - Typically, computer-based Othello players are much better than humans, due to the relatively small search space.
  - Human champions refuse to play against computers, because the computers are too good.



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## State of the Art in Games

- Backgammon
  - Interesting game to study due to “chance” element and “gambling” element.
  - In 1990, BKG beat the world-champion 5-1. It is believed it was due to the luck of the dice.
  - In 1992, Gerry Tesauro at IBM applied temporal difference learning with a neural network to produce TD-Gammon.
  - TD-Gammon has beaten or been competitive with current world-champions, even with less favorable dice rolls.



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## State of the Art in Games

- Go
  - Branching factor is over 300.
  - Most programs are simply awful.
  - As a result, human champions refuse to play against computer opponents.
  - Due to large branching factor, plied search tends to be limited, instead favoring pattern matching in large, patterned databases.
  - Recent announcement that a computer (Huygens) has now beaten a Go professional (2008).
  - Applied Monte Carlo Tree Search.



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## State of the Art in Games

- Differential Games
  - Models games played in continuous state spaces with continuous actions.
  - Dynamics modeled with differential equations.
  - Recent as a research topic in artificial intelligence.
  - Most computer-based games make simplifying assumptions, such as pure strategies, quantized state space, quantized action space, and Markov assumption.

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