

# Dynamic Programming

## CSCI 432

# Dynamic Programming Process

1. Identify optimal substructure:

If we have an optimal solution of size  $X$ , what does that say about a sub-solution of  $X$ ?

2. Recursively define value of optimal solution:

$$A_n = \max \text{ or } \min \text{ of something related to } A_{n-i}$$

3. Compute value of optimal solution.

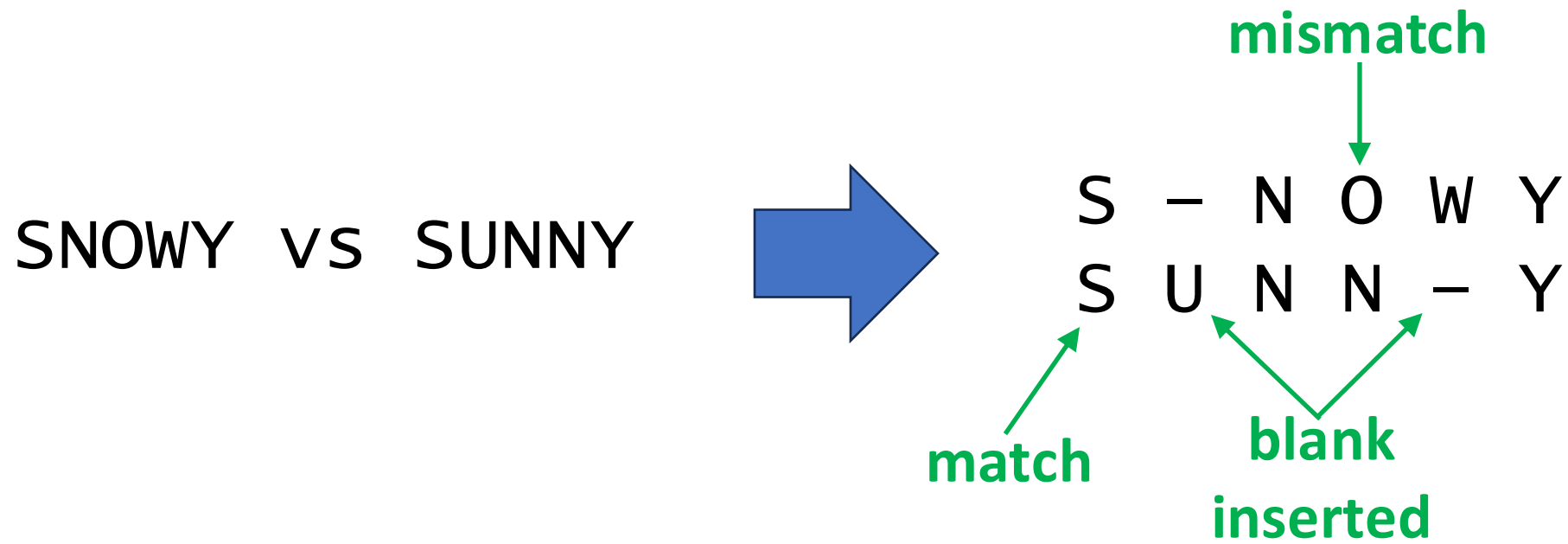
Fill out table for every optimal value  $\leq A_n$

4. Construct optimal solution from computed information:

Either backtrack or make a new table.

# Edit Distance

Given two strings, how many edits are needed to turn one string into another?



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Input:

- Two strings
- Cost function  
(e.g., non-matches = +1)

|   |   |   |   |   |   |
|---|---|---|---|---|---|
| S | – | N | O | W | Y |
| S | U | N | N | – | Y |

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|   |   |   |   |   |   |
|---|---|---|---|---|---|
| S | – | N | O | W | Y |
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**cost = 3**

# Edit Distance

Given two strings, how many edits are needed to turn one string into another?

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(e.g., non-matches = +1)

|   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|
| - | S | N | O | W | - | Y |
| S | U | N | - | - | N | Y |

**cost = ?**

# Edit Distance

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- Two strings
- Cost function  
(e.g., non-matches = +1)

|   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|
| - | S | N | O | W | - | Y |
| S | U | N | - | - | N | Y |

**cost = 5**

# Edit Distance

Given two strings, how many edits are needed to turn one string into another?

Input:

- Two strings
- Cost function  
(e.g., non-matches = +1)

|   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|
| - | S | N | O | W | - | Y |
| S | U | N | - | - | N | Y |

**cost = 5**

Objective:

Find cheapest possible alignment.



# Edit Distance

We want to align two strings,  $x = [x_1, \dots, x_n]$  and  $y = [y_1, \dots, y_m]$ .

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**Dynamic Programming?**

# Edit Distance

We want to align two strings,  $x = [x_1, \dots, x_n]$  and  $y = [y_1, \dots, y_m]$ .

$E(i, j)$  = optimal cost of aligning  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ .

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Can we say anything about optimal alignment of  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ ? 

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Can we say anything about optimal alignment of  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ ? 

**Specifically, how must the optimal alignment end?  
(three possibilities).**

# Edit Distance

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Can we say anything about optimal alignment of  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ ?

| Alignment      | Cost |
|----------------|------|
| $x_i$<br>$y_j$ |      |
| $x_i$<br>—     |      |
| —<br>$y_j$     |      |

# Edit Distance

We want to align two strings,  $x = [x_1, \dots, x_n]$  and  $y = [y_1, \dots, y_m]$ .

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Can we say anything about optimal alignment of  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ ?

| Alignment      | Cost |
|----------------|------|
| $x_i$<br>$y_j$ |      |
| $x_i$<br>—     |      |
| —<br>$y_j$     |      |

$\dots \ x_{i-3} \ x_{i-2} \ x_{i-1} \quad \text{—} \quad x_i$   
 $\dots \ y_{j-3} \quad \text{—} \quad y_{j-2} \ y_{j-1} \ y_j$

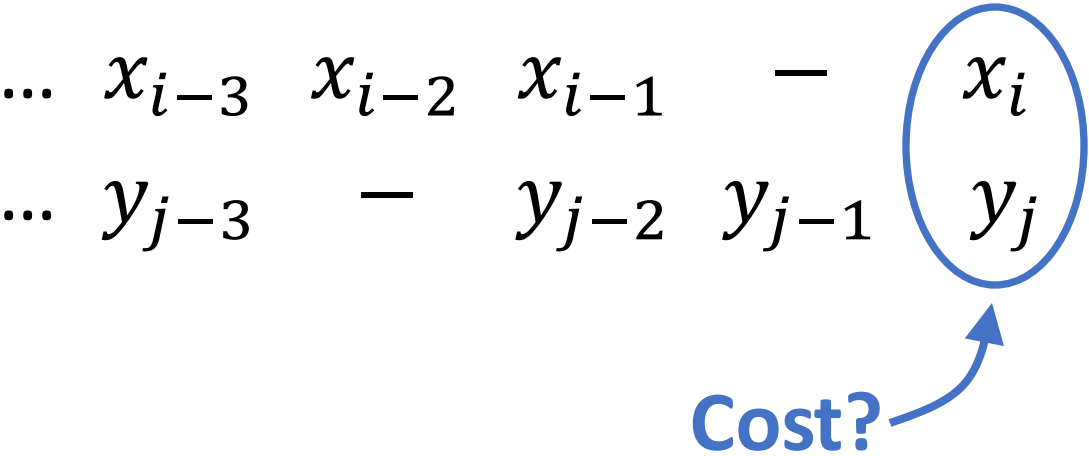
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We want to align two strings,  $x = [x_1, \dots, x_n]$  and  $y = [y_1, \dots, y_m]$ .

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| $x_i$<br>—     |      |
| —<br>$y_j$     |      |





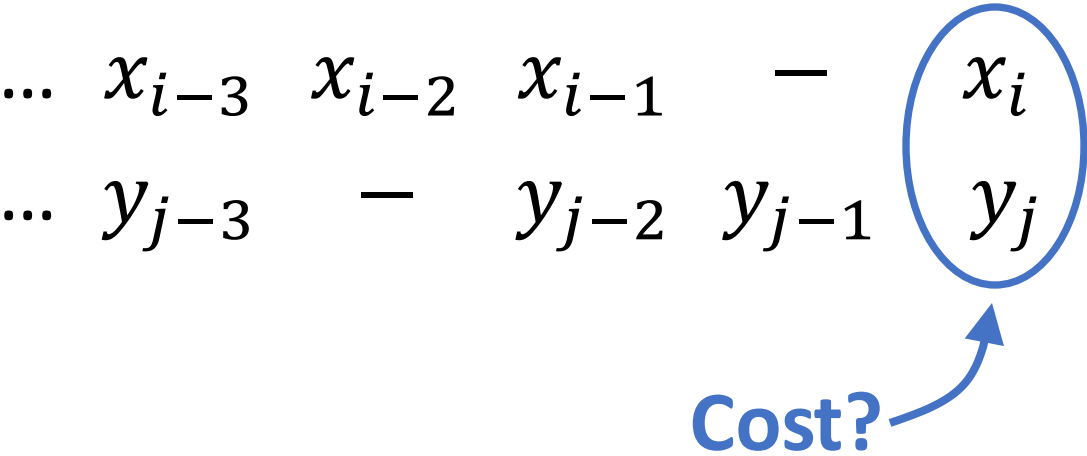
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$E(i, j)$  = optimal cost of aligning  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ .

Can we say anything about optimal alignment of  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ ?

| Alignment      | Cost      |
|----------------|-----------|
| $x_i$<br>$y_j$ | $\{0,1\}$ |
| $x_i$<br>—     |           |
| —<br>$y_j$     |           |



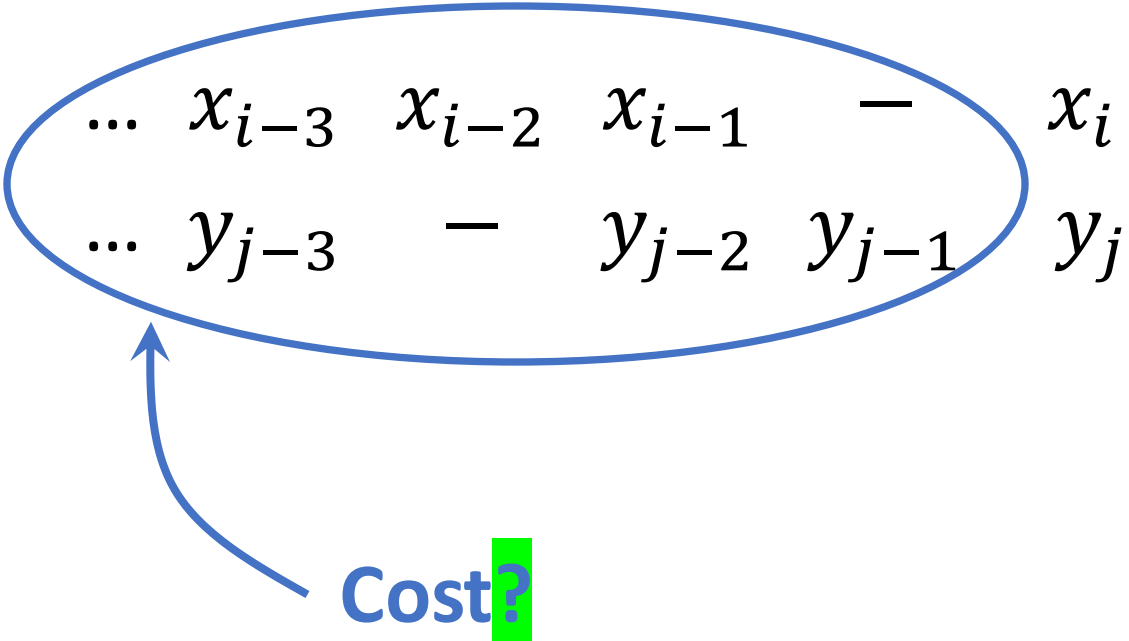
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Can we say anything about optimal alignment of  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ ?

| Alignment      | Cost            |
|----------------|-----------------|
| $x_i$<br>$y_j$ | $\{0,1\} + ???$ |
| $x_i$<br>$-$   |                 |
| $-$<br>$y_j$   |                 |



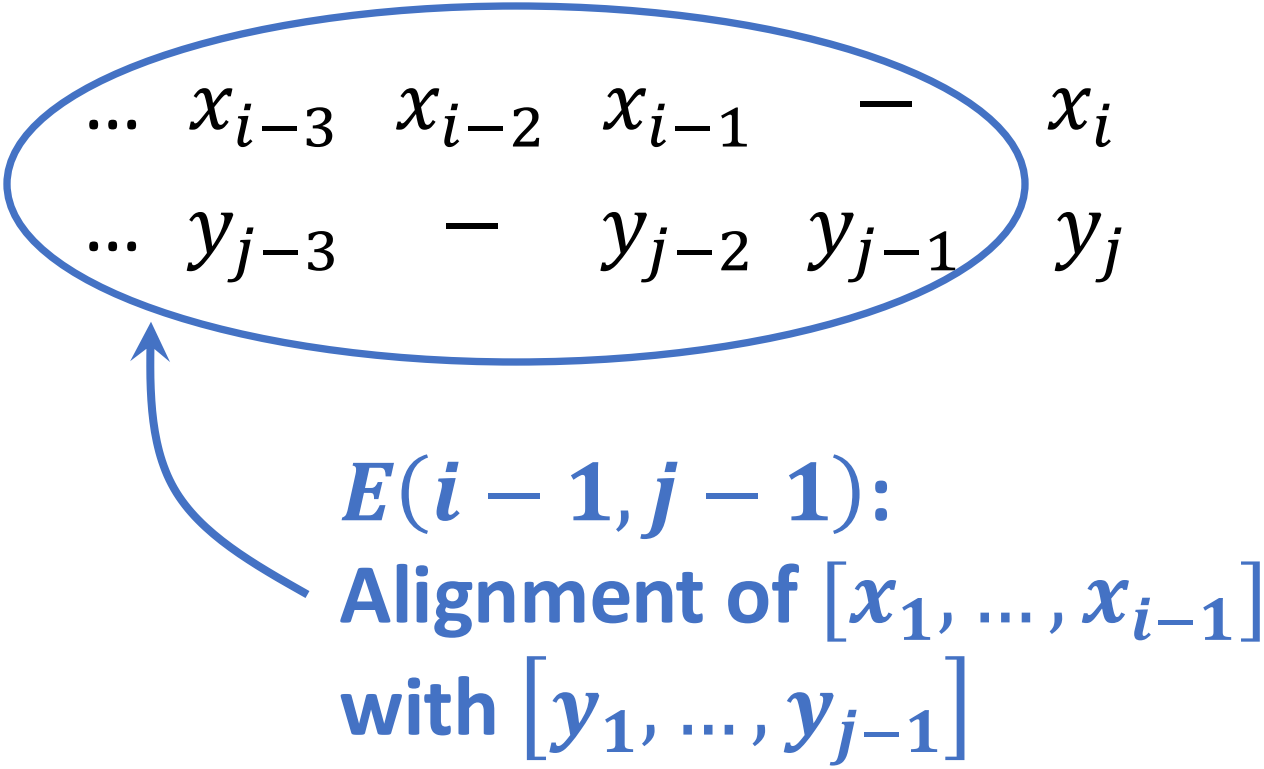
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| Alignment      | Cost            |
|----------------|-----------------|
| $x_i$<br>$y_j$ | $\{0,1\} + ???$ |
| $x_i$<br>$-$   |                 |
| $-$<br>$y_j$   |                 |



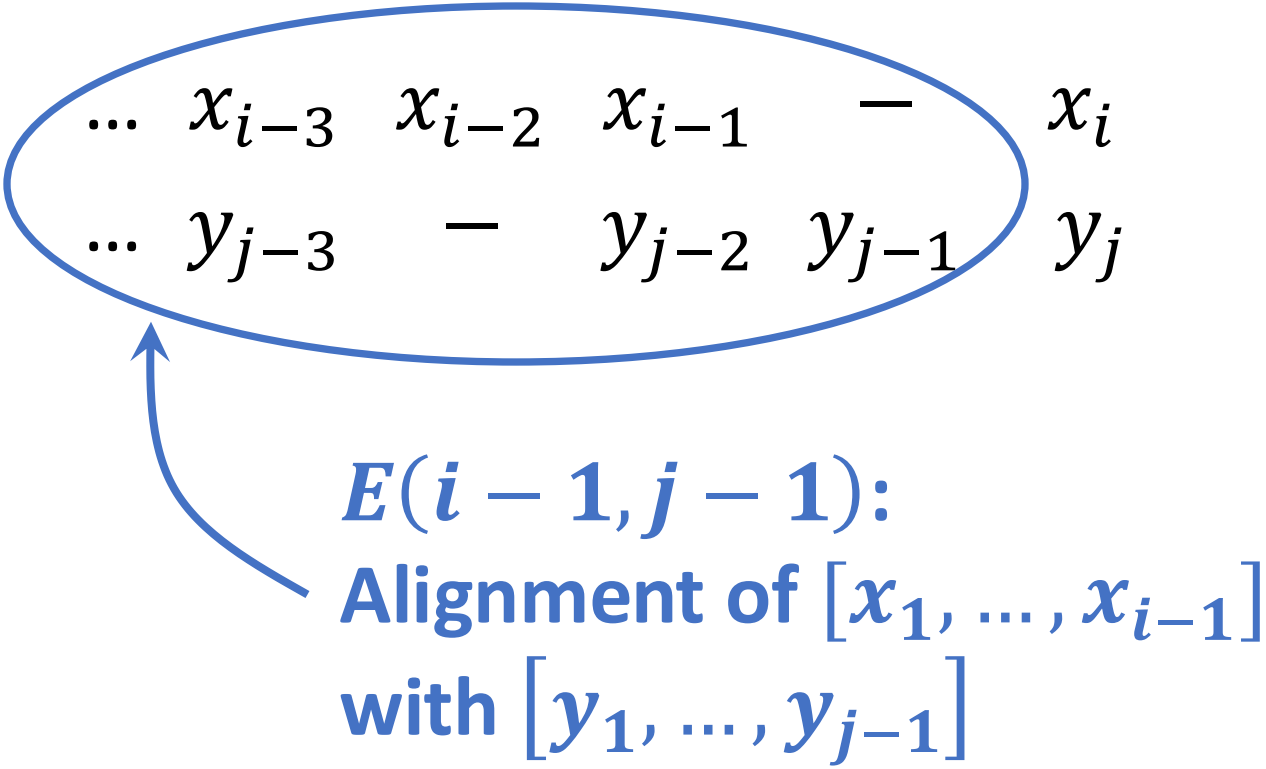
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$E(i, j)$  = optimal cost of aligning  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ .

Can we say anything about optimal alignment of  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ ?

| Alignment      | Cost                        |
|----------------|-----------------------------|
| $x_i$<br>$y_j$ | $\{0,1\} + E(i - 1, j - 1)$ |
| $x_i$<br>$-$   |                             |
| $-$<br>$y_j$   |                             |



# Edit Distance

We want to align two strings,  $x = [x_1, \dots, x_n]$  and  $y = [y_1, \dots, y_m]$ .

$E(i, j)$  = optimal cost of aligning  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ .

Can we say anything about optimal alignment of  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ ?

| Alignment      | Cost                        |
|----------------|-----------------------------|
| $x_i$<br>$y_j$ | $\{0,1\} + E(i - 1, j - 1)$ |
| $x_i$<br>—     |                             |
| —<br>$y_j$     |                             |

$\dots \ x_{i-3} \ x_{i-2} \ x_{i-1} \ \text{---} \ x_i$   
 $\dots \ y_{j-2} \ \text{---} \ y_{j-1} \ y_j \ \text{---}$

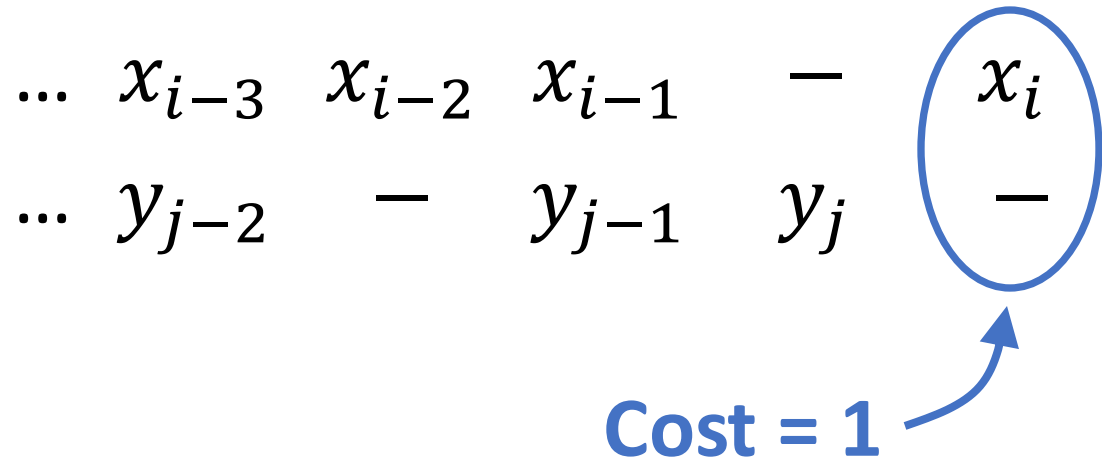
# Edit Distance

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$E(i, j)$  = optimal cost of aligning  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ .

Can we say anything about optimal alignment of  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ ?

| Alignment      | Cost                        |
|----------------|-----------------------------|
| $x_i$<br>$y_j$ | $\{0,1\} + E(i - 1, j - 1)$ |
| $x_i$<br>—     | 1                           |
| —<br>$y_j$     |                             |



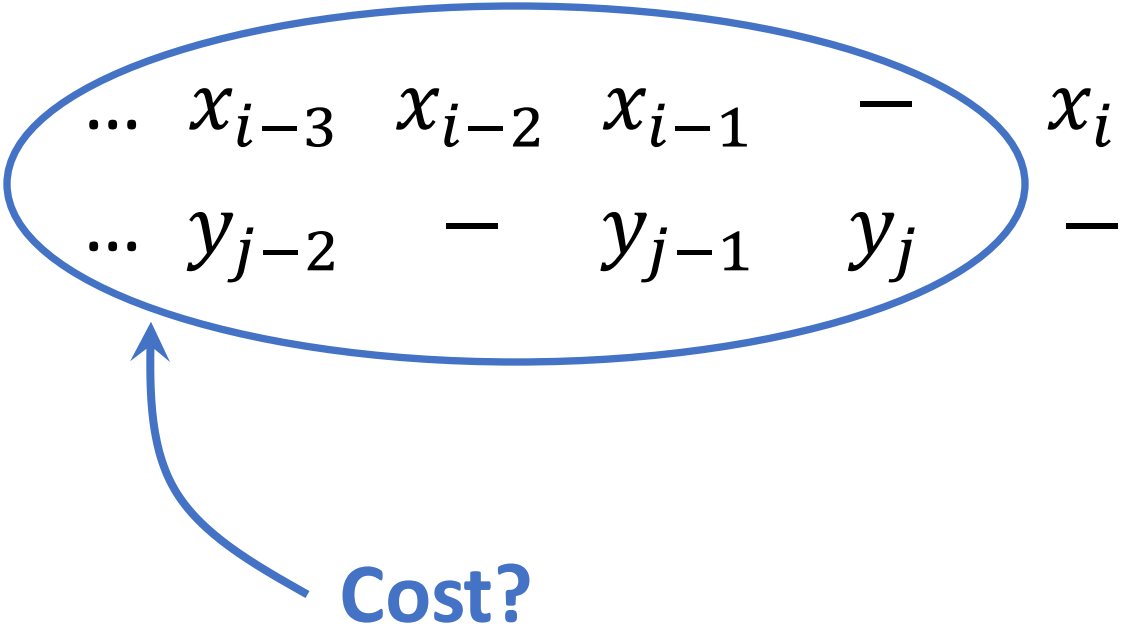
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$E(i, j)$  = optimal cost of aligning  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ .

Can we say anything about optimal alignment of  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ ?

| Alignment      | Cost                        |
|----------------|-----------------------------|
| $x_i$<br>$y_j$ | $\{0,1\} + E(i - 1, j - 1)$ |
| $x_i$<br>—     | $1 + ???$                   |
| —<br>$y_j$     |                             |



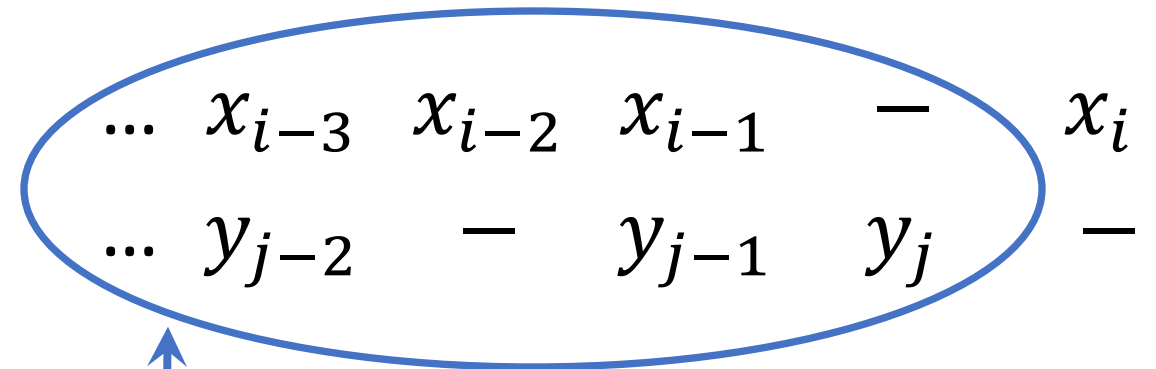
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$E(i, j)$  = optimal cost of aligning  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ .

Can we say anything about optimal alignment of  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ ?

| Alignment      | Cost                         |
|----------------|------------------------------|
| $x_i$<br>$y_j$ | $\{0, 1\} + E(i - 1, j - 1)$ |
| $x_i$<br>$-$   | $1 + E(i - 1, j)$            |
| $-$<br>$y_j$   |                              |



**$E(i - 1, j)$ :**  
**Alignment of  $[x_1, \dots, x_{i-1}]$**   
**with  $[y_1, \dots, y_j]$**



# Edit Distance

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$E(i, j)$  = optimal cost of aligning  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ .

Can we say anything about optimal alignment of  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ ?

| Alignment      | Cost                        |
|----------------|-----------------------------|
| $x_i$<br>$y_j$ | $\{0,1\} + E(i - 1, j - 1)$ |
| $x_i$<br>—     | $1 + E(i - 1, j)$           |
| —<br>$y_j$     | $1 + E(i, j - 1)$           |

$\dots$   $x_{i-2}$   $x_{i-1}$   $x_i$       —      —  
 $\dots$   $y_{j-3}$       —       $y_{j-2}$   $y_{j-1}$   $y_j$

# Edit Distance

We want to align two strings,  $x = [x_1, \dots, x_n]$  and  $y = [y_1, \dots, y_m]$ .

$E(i, j)$  = optimal cost of aligning  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ .

Can we say anything about optimal alignment of  $[x_1, \dots, x_i]$  and  $[y_1, \dots, y_j]$ ?

| Alignment      | Cost                    |
|----------------|-------------------------|
| $x_i$<br>$y_j$ | $\{0,1\} + E(i-1, j-1)$ |
| $x_i$<br>—     | $1 + E(i-1, j)$         |
| —<br>$y_j$     | $1 + E(i, j-1)$         |

$$E(i, j) = ??$$

# Edit Distance

We want to align two strings,  $x = [x_1, \dots, x_n]$  and  $y = [y_1, \dots, y_m]$ .

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| Alignment      | Cost                    |
|----------------|-------------------------|
| $x_i$<br>$y_j$ | $\{0,1\} + E(i-1, j-1)$ |
| $x_i$<br>—     | $1 + E(i-1, j)$         |
| —<br>$y_j$     | $1 + E(i, j-1)$         |

$$E(i, j) = \min \begin{cases} \text{diff}(i, j) + E(i-1, j-1) \\ 1 + E(i-1, j) \\ 1 + E(i, j-1) \end{cases}$$

$$\text{where } \text{diff}(i, j) = \begin{cases} 0, & x_i = y_j \\ 1, & x_i \neq y_j \end{cases}$$

# Edit Distance

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$

$$\text{diff}(i, j) = \begin{cases} 0, & x[i] = y[j] \\ 1, & x[i] \neq y[j] \end{cases}$$

How should we find  $E(n, m)$ ?

# Edit Distance

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$

$$\text{diff}(i, j) = \begin{cases} 0, & x[i] = y[j] \\ 1, & x[i] \neq y[j] \end{cases}$$

How should we find  $E(n, m)$ ?

- Find all the other  $E(i, j)$ 's for  $i < n, j < m$ .

# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ | 0 |     | 0         |   |   |   |   |   |
|     | 1 | S   |           |   |   |   |   |   |
|     | 2 | N   |           |   |   |   |   |   |
|     | 3 | O   |           |   |   |   |   |   |
|     | 4 | W   |           |   |   |   |   |   |
|     | 5 | Y   |           |   |   |   |   |   |

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$

$$\text{diff}(i, j) = \begin{cases} 0, & x[i] = y[j] \\ 1, & x[i] \neq y[j] \end{cases}$$

How should we find  $E(n, m)$ ?

- Find all the other  $E(i, j)$ 's for  $i < n, j < m$ .
- Store intermediate  $E(i, j)$  values in a 2d array.

# Edit Distance

|     |   | $j$ | 0 | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|---|---|---|---|---|---|
|     |   |     |   | S | U | N | N | Y |
| $i$ |   | 0   | 0 |   |   |   |   |   |
| 1   | S |     |   |   |   |   |   |   |
| 2   | N |     |   |   |   |   |   |   |
| 3   | O |     |   |   |   |   |   |   |
| 4   | W |     |   |   |   |   |   |   |
| 5   | Y |     |   |   |   |   |   |   |

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$

$$\text{diff}(i, j) = \begin{cases} 0, & x[i] = y[j] \\ 1, & x[i] \neq y[j] \end{cases}$$

$E(3, 4)$

Where can we start?

# Edit Distance

|     |   | $j$ | 0 | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|---|---|---|---|---|---|
|     |   |     |   | S | U | N | N | Y |
| $i$ | 0 |     | 0 |   |   |   |   |   |
| 1   | S |     |   |   |   |   |   |   |
| 2   | N |     |   |   |   |   |   |   |
| 3   | O |     |   |   |   |   |   |   |
| 4   | W |     |   |   |   |   |   |   |
| 5   | Y |     |   |   |   |   |   |   |

$E(3, 4)$

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$

$$\text{diff}(i, j) = \begin{cases} 0, & x[i] = y[j] \\ 1, & x[i] \neq y[j] \end{cases}$$

Where can we start?  
 $E(0, 1)$  or  $E(1, 0)$



# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ | 0 |     | 0         |   |   |   |   |   |
|     | 1 | S   |           |   |   |   |   |   |
|     | 2 | N   |           |   |   |   |   |   |
|     | 3 | O   |           |   |   |   |   |   |
|     | 4 | W   |           |   |   |   |   |   |
|     | 5 | Y   |           |   |   |   |   |   |

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$

$$\text{diff}(i, j) = \begin{cases} 0, & x[i] = y[j] \\ 1, & \text{otherwise} \end{cases}$$

$$E(0, 1) = \min \begin{cases} E(-1, 1) + 1 \\ E(0, 0) + 1 \\ E(-1, 0) + 1 \end{cases} = ?$$

# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ | 0 |     | 0         |   |   |   |   |   |
|     | 1 | S   |           |   |   |   |   |   |
|     | 2 | N   |           |   |   |   |   |   |
|     | 3 | O   |           |   |   |   |   |   |
|     | 4 | W   |           |   |   |   |   |   |
|     | 5 | Y   |           |   |   |   |   |   |

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$

$$\text{diff}(i, j) = \begin{cases} 0, & x[i] = y[j] \\ 1, & \text{otherwise} \end{cases}$$

$$E(0, 1) = \min \begin{cases} \cancel{E(-1, 1) + 1} \\ E(0, 0) + 1 = ? \\ \cancel{E(-1, 0) + 1} \end{cases}$$

# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ | 0 |     | 0         | 1 |   |   |   |   |
|     | 1 | S   |           |   |   |   |   |   |
|     | 2 | N   |           |   |   |   |   |   |
|     | 3 | O   |           |   |   |   |   |   |
|     | 4 | W   |           |   |   |   |   |   |
|     | 5 | Y   |           |   |   |   |   |   |

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$

$$\text{diff}(i, j) = \begin{cases} 0, & x[i] = y[j] \\ 1, & \text{otherwise} \end{cases}$$

$$E(0, 1) = \min \begin{cases} \cancel{E(-1, 1) + 1} \\ E(0, 0) + 1 = 1 \\ \cancel{E(-1, 0) + 1} \end{cases}$$

Corresponds to aligning S from SUNNY with inserted – from SNOWY.

# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ | 0 |     | 0         | 1 |   |   |   |   |
|     | 1 | S   |           |   |   |   |   |   |
|     | 2 | N   |           |   |   |   |   |   |
|     | 3 | O   |           |   |   |   |   |   |
|     | 4 | W   |           |   |   |   |   |   |
|     | 5 | Y   |           |   |   |   |   |   |

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$

$$\text{diff}(i, j) = \begin{cases} 0, & x[i] = y[j] \\ 1, & \text{otherwise} \end{cases}$$

$$E(1, 1) = \min \begin{cases} E(0, 1) + 1 \\ E(1, 0) + 1 = ? \\ E(0, 0) + 0 \end{cases}$$

# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ | 0 |     | 0         | 1 |   |   |   |   |
|     | 1 | S   |           |   |   |   |   |   |
|     | 2 | N   |           |   |   |   |   |   |
|     | 3 | O   |           |   |   |   |   |   |
|     | 4 | W   |           |   |   |   |   |   |
|     | 5 | Y   |           |   |   |   |   |   |

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$

$$\text{diff}(i, j) = \begin{cases} 0, & x[i] = y[j] \\ 1, & \text{otherwise} \end{cases}$$

$$E(1, 1) = \min \begin{cases} E(0, 1) + 1 \\ \textcolor{red}{E(1, 0)} + 1 = ? \\ E(0, 0) + 0 \end{cases}$$

**Not calculated yet!**

# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ |   |     |           |   |   |   |   |   |
| 0   |   |     | 0         | 1 |   |   |   |   |
| 1   | S |     |           |   |   |   |   |   |
| 2   | N |     |           |   |   |   |   |   |
| 3   | O |     |           |   |   |   |   |   |
| 4   | W |     |           |   |   |   |   |   |
| 5   | Y |     |           |   |   |   |   |   |

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$
$$\text{diff}(i, j) = \begin{cases} 0, & x[i] = y[j] \\ 1, & \text{otherwise} \end{cases}$$

**Need upper left-hand corner filled out before we can progress.**

# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ | 0 |     | 0         | 1 | 2 |   |   |   |
|     | 1 | S   |           |   |   |   |   |   |
|     | 2 | N   |           |   |   |   |   |   |
|     | 3 | O   |           |   |   |   |   |   |
|     | 4 | W   |           |   |   |   |   |   |
|     | 5 | Y   |           |   |   |   |   |   |

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$

$$\text{diff}(i, j) = \begin{cases} 0, & x[i] = y[j] \\ 1, & \text{otherwise} \end{cases}$$

$$E(0, 2) = \min \begin{cases} E(-1, 2) + 1 \\ E(0, 1) + 1 \\ E(-1, 1) + 1 \end{cases} = 2$$

# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ | 0 |     | 0         | 1 | 2 |   |   |   |
|     | 1 | S   | 1         |   |   |   |   |   |
|     | 2 | N   |           |   |   |   |   |   |
|     | 3 | O   |           |   |   |   |   |   |
|     | 4 | W   |           |   |   |   |   |   |
|     | 5 | Y   |           |   |   |   |   |   |

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$

$$\text{diff}(i, j) = \begin{cases} 0, & x[i] = y[j] \\ 1, & \text{otherwise} \end{cases}$$

$$E(1, 0) = \min \begin{cases} E(0, 0) + 1 \\ E(1, -1) + 1 = 1 \\ E(0, -1) + 1 \end{cases}$$



# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ | 0 |     | 0         | 1 | 2 |   |   |   |
|     | 1 | S   | 1         | 0 |   |   |   |   |
|     | 2 | N   |           |   |   |   |   |   |
|     | 3 | O   |           |   |   |   |   |   |
|     | 4 | W   |           |   |   |   |   |   |
|     | 5 | Y   |           |   |   |   |   |   |

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$

$$\text{diff}(i, j) = \begin{cases} 0, & x[i] = y[j] \\ 1, & \text{otherwise} \end{cases}$$

$$E(1, 1) = \min \begin{cases} E(0, 1) + 1 \\ E(1, 0) + 1 = 0 \\ E(0, 0) + 0 \end{cases}$$

# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ |   |     |           |   |   |   |   |   |
| 0   |   |     | 0         | 1 | 2 | 3 | 4 | 5 |
| 1   | S |     | 1         | 0 | 1 | 2 | 3 | 4 |
| 2   | N |     | 2         | 1 | 1 | 1 | 2 | 3 |
| 3   | O |     | 3         | 2 | 2 | 2 | 2 | 3 |
| 4   | W |     | 4         | 3 | 3 | 3 | 3 | 3 |
| 5   | Y |     | 5         | 4 | 4 | 4 | 4 | 3 |

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$

$$\text{diff}(i, j) = \begin{cases} 0, & x[i] = y[j] \\ 1, & \text{otherwise} \end{cases}$$

Running Time?

# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ | 0 |     | 0         | 1 | 2 | 3 | 4 | 5 |
|     | 1 | S   | 1         | 0 | 1 | 2 | 3 | 4 |
|     | 2 | N   | 2         | 1 | 1 | 1 | 2 | 3 |
|     | 3 | O   | 3         | 2 | 2 | 2 | 2 | 3 |
|     | 4 | W   | 4         | 3 | 3 | 3 | 3 | 3 |
|     | 5 | Y   | 5         | 4 | 4 | 4 | 4 | 3 |

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$

$$\text{diff}(i, j) = \begin{cases} 0, & x[i] = y[j] \\ 1, & \text{otherwise} \end{cases}$$

Running Time?

Fill out  $n \times m$  table with constant operations:  $O(nm)$

# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ |   |     |           |   |   |   |   |   |
| 0   |   |     | 0         | 1 | 2 | 3 | 4 | 5 |
| 1   | S |     | 1         | 0 | 1 | 2 | 3 | 4 |
| 2   | N |     | 2         | 1 | 1 | 1 | 2 | 3 |
| 3   | O |     | 3         | 2 | 2 | 2 | 2 | 3 |
| 4   | W |     | 4         | 3 | 3 | 3 | 3 | 3 |
| 5   | Y |     | 5         | 4 | 4 | 4 | 4 | 3 |

Edit distance = **3**.

How can we recreate the actual alignments?

Backtracking.

Ask the question: “How did we get here?”

# Edit Distance

|     |   | $j$ | 0 | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|---|---|---|---|---|---|
| $i$ |   |     | S | U | N | N | Y |   |
| 0   |   |     | 0 | 1 | 2 | 3 | 4 | 5 |
| 1   | S |     | 1 | 0 | 1 | 2 | 3 | 4 |
| 2   | N |     | 2 | 1 | 1 | 1 | 2 | 3 |
| 3   | O |     | 3 | 2 | 2 | 2 | 2 | 3 |
| 4   | W |     | 4 | 3 | 3 | 3 | 3 | 3 |
| 5   | Y |     | 5 | 4 | 4 | 4 | 4 | 3 |

How did we get to  $E(5,5)$ ?

# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ | 0 |     | 0         | 1 | 2 | 3 | 4 | 5 |
|     | 1 | S   | 1         | 0 | 1 | 2 | 3 | 4 |
|     | 2 | N   | 2         | 1 | 1 | 1 | 2 | 3 |
|     | 3 | O   | 3         | 2 | 2 | 2 | 2 | 3 |
|     | 4 | W   | 4         | 3 | 3 | 3 | 3 | 3 |
|     | 5 | Y   | 5         | 4 | 4 | 4 | 4 | 3 |

How did we get to  $E(5,5)$ ?  
From  $E(5,4)$ ?

# Edit Distance

|     |   | $j$ | 0 | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|---|---|---|---|---|---|
| $i$ |   |     | S | U | N | N | Y |   |
| 0   |   |     | 0 | 1 | 2 | 3 | 4 | 5 |
| 1   | S |     | 1 | 0 | 1 | 2 | 3 | 4 |
| 2   | N |     | 2 | 1 | 1 | 1 | 2 | 3 |
| 3   | O |     | 3 | 2 | 2 | 2 | 2 | 3 |
| 4   | W |     | 4 | 3 | 3 | 3 | 3 | 3 |
| 5   | Y |     | 5 | 4 | 4 | 4 | 4 | 3 |

How did we get to  $E(5,5)$ ?

From  $E(5,4)$ ? – No. Can never go down in cost.

# Edit Distance

|     |   | $j$ | 0 | 1 | 2 | 3 | 4 | 5                                                                                     |
|-----|---|-----|---|---|---|---|---|---------------------------------------------------------------------------------------|
| $i$ |   |     | S | U | N | N | Y |                                                                                       |
| 0   |   |     | 0 | 1 | 2 | 3 | 4 | 5                                                                                     |
| 1   | S |     | 1 | 0 | 1 | 2 | 3 | 4                                                                                     |
| 2   | N |     | 2 | 1 | 1 | 1 | 2 | 3                                                                                     |
| 3   | O |     | 3 | 2 | 2 | 2 | 2 | 3                                                                                     |
| 4   | W |     | 4 | 3 | 3 | 3 | 3 | 3                                                                                     |
| 5   | Y |     | 5 | 4 | 4 | 4 | 4 |  3 |

How did we get to  $E(5,5)$ ?

From  $E(5,4)$ ? – No. Can never go down in cost.

From  $E(4,5)$ ?



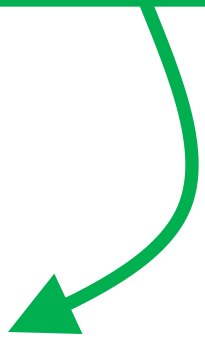
# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5        |
|-----|---|-----|-----------|---|---|---|---|----------|
|     |   |     | S U N N Y |   |   |   |   |          |
| $i$ |   |     |           |   |   |   |   |          |
| 0   |   |     | 0         | 1 | 2 | 3 | 4 | 5        |
| 1   | S |     | 1         | 0 | 1 | 2 | 3 | 4        |
| 2   | N |     | 2         | 1 | 1 | 1 | 2 | 3        |
| 3   | O |     | 3         | 2 | 2 | 2 | 2 | 3        |
| 4   | W |     | 4         | 3 | 3 | 3 | 3 | 3        |
| 5   | Y |     | 5         | 4 | 4 | 4 | 4 | <b>3</b> |

How did we get to  $E(5,5)$ ?

From  $E(5,4)$ ? – No. Can never go down in cost.

From  $E(4,5)$ ? – No. Need +1 to move that direction.

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$


# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5        |
|-----|---|-----|-----------|---|---|---|---|----------|
|     |   |     | S U N N Y |   |   |   |   |          |
| $i$ | 0 |     | 0         | 1 | 2 | 3 | 4 | 5        |
|     | 1 | S   | 1         | 0 | 1 | 2 | 3 | 4        |
|     | 2 | N   | 2         | 1 | 1 | 1 | 2 | 3        |
|     | 3 | O   | 3         | 2 | 2 | 2 | 2 | 3        |
|     | 4 | W   | 4         | 3 | 3 | 3 | 3 | 3        |
|     | 5 | Y   | 5         | 4 | 4 | 4 | 4 | <b>3</b> |

How did we get to  $E(5,5)$ ?

From  $E(5,4)$ ? – No. Can never go down in cost.

From  $E(4,5)$ ? – No. Need +1 to move that direction.

From  $E(4,4)$ ?

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$

# Edit Distance

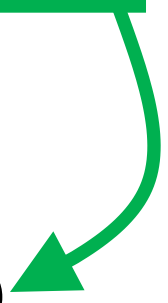
|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5        |
|-----|---|-----|-----------|---|---|---|---|----------|
|     |   |     | S U N N Y |   |   |   |   |          |
| $i$ | 0 |     | 0         | 1 | 2 | 3 | 4 | 5        |
|     | 1 | S   | 1         | 0 | 1 | 2 | 3 | 4        |
|     | 2 | N   | 2         | 1 | 1 | 1 | 2 | 3        |
|     | 3 | O   | 3         | 2 | 2 | 2 | 2 | 3        |
|     | 4 | W   | 4         | 3 | 3 | 3 | 3 | 3        |
|     | 5 | Y   | 5         | 4 | 4 | 4 | 4 | <b>3</b> |

How did we get to  $E(5,5)$ ?

From  $E(5,4)$ ? – No. Can never go down in cost.

From  $E(4,5)$ ? – No. Need +1 to move that direction.

From  $E(4,4)$ ? – Yes. Match Y's.

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$


# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ |   |     |           |   |   |   |   |   |
| 0   |   |     | 0         | 1 | 2 | 3 | 4 | 5 |
| 1   | S | 1   | 0         | 1 | 2 | 3 | 4 |   |
| 2   | N | 2   | 1         | 1 | 1 | 2 | 3 |   |
| 3   | O | 3   | 2         | 2 | 2 | 2 | 3 |   |
| 4   | W | 4   | 3         | 3 | 3 | 3 | 3 |   |
| 5   | Y | 5   | 4         | 4 | 4 | 4 | 4 | 3 |

Continuing the process yields all of the optimal solutions.

Diagonal move indicates ?

Vertical move indicates ?

Horizontal move indicates ?

$$E(i, j) = \min \begin{cases} E(i - 1, j) + 1 \\ E(i, j - 1) + 1 \\ E(i - 1, j - 1) + \text{diff}(i, j) \end{cases}$$

# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ | 0 |     | 0         | 1 | 2 | 3 | 4 | 5 |
|     | 1 | S   | 1         | 0 | 1 | 2 | 3 | 4 |
|     | 2 | N   | 2         | 1 | 1 | 1 | 2 | 3 |
|     | 3 | O   | 3         | 2 | 2 | 2 | 2 | 3 |
|     | 4 | W   | 4         | 3 | 3 | 3 | 3 | 3 |
|     | 5 | Y   | 5         | 4 | 4 | 4 | 4 | 3 |

Continuing the process yields all of the optimal solutions.

Diagonal move indicates match.

Vertical move indicates ?

Horizontal move indicates ?

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$

# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ | 0 |     | 0         | 1 | 2 | 3 | 4 | 5 |
|     | 1 | S   | 1         | 0 | 1 | 2 | 3 | 4 |
|     | 2 | N   | 2         | 1 | 1 | 1 | 2 | 3 |
|     | 3 | O   | 3         | 2 | 2 | 2 | 2 | 3 |
|     | 4 | W   | 4         | 3 | 3 | 3 | 3 | 3 |
|     | 5 | Y   | 5         | 4 | 4 | 4 | 4 | 3 |

Continuing the process yields all of the optimal solutions.

Diagonal move indicates match.

Vertical move indicates space inserted in  $j$ .

Horizontal move indicates ?

$$E(i, j) = \min \begin{cases} E(i - 1, j) + 1 \\ E(i, j - 1) + 1 \\ E(i - 1, j - 1) + \text{diff}(i, j) \end{cases}$$

# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ | 0 |     | 0         | 1 | 2 | 3 | 4 | 5 |
|     | 1 | S   | 1         | 0 | 1 | 2 | 3 | 4 |
|     | 2 | N   | 2         | 1 | 1 | 1 | 2 | 3 |
|     | 3 | O   | 3         | 2 | 2 | 2 | 2 | 3 |
|     | 4 | W   | 4         | 3 | 3 | 3 | 3 | 3 |
|     | 5 | Y   | 5         | 4 | 4 | 4 | 4 | 3 |

Continuing the process yields all of the optimal solutions.

Diagonal move indicates match.

Vertical move indicates space inserted in  $j$ .

Horizontal move indicates space inserted in  $i$ .

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$

# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ | 0 |     | 0         | 1 | 2 | 3 | 4 | 5 |
|     | 1 | S   | 1         | 0 | 1 | 2 | 3 | 4 |
|     | 2 | N   | 2         | 1 | 1 | 1 | 2 | 3 |
|     | 3 | O   | 3         | 2 | 2 | 2 | 2 | 3 |
|     | 4 | W   | 4         | 3 | 3 | 3 | 3 | 3 |
|     | 5 | Y   | 5         | 4 | 4 | 4 | 4 | 3 |

Diagonal move indicates match.

Vertical move indicates space inserted in  $j$ .

Horizontal move indicates space inserted in  $i$ .

S - N O W Y

S U N N - Y



# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ | 0 |     | 0         | 1 | 2 | 3 | 4 | 5 |
|     | 1 | S   | 1         | 0 | 1 | 2 | 3 | 4 |
|     | 2 | N   | 2         | 1 | 1 | 1 | 2 | 3 |
|     | 3 | O   | 3         | 2 | 2 | 2 | 2 | 3 |
|     | 4 | W   | 4         | 3 | 3 | 3 | 3 | 3 |
|     | 5 | Y   | 5         | 4 | 4 | 4 | 4 | 3 |

Diagonal move indicates match.

Vertical move indicates space inserted in  $j$ .

Horizontal move indicates space inserted in  $i$ .

Alignment?

# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ | 0 |     | 0         | 1 | 2 | 3 | 4 | 5 |
|     | 1 | S   | 1         | 0 | 1 | 2 | 3 | 4 |
|     | 2 | N   | 2         | 1 | 1 | 1 | 2 | 3 |
|     | 3 | O   | 3         | 2 | 2 | 2 | 2 | 3 |
|     | 4 | W   | 4         | 3 | 3 | 3 | 3 | 3 |
|     | 5 | Y   | 5         | 4 | 4 | 4 | 4 | 3 |

Diagonal move indicates match.

Vertical move indicates space inserted in  $j$ .

Horizontal move indicates space inserted in  $i$ .

S - N O W Y

S U N - N Y

# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ |   | 0   | 0         | 1 | 2 | 3 | 4 | 5 |
| 0   |   |     | 0         | 1 | 2 | 3 | 4 | 5 |
| 1   | S |     | 1         | 0 | 1 | 2 | 3 | 4 |
| 2   | N |     | 2         | 1 | 1 | 1 | 2 | 3 |
| 3   | O |     | 3         | 2 | 2 | 2 | 2 | 3 |
| 4   | W |     | 4         | 3 | 3 | 3 | 3 | 3 |
| 5   | Y |     | 5         | 4 | 4 | 4 | 4 | 3 |

Diagonal move indicates match.

Vertical move indicates space inserted in  $j$ .

Horizontal move indicates space inserted in  $i$ .

S N O W Y

S U N N Y

# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ | 0 |     | 0         | 1 | 2 | 3 | 4 | 5 |
|     | 1 | S   | 1         | 0 | 1 | 2 | 3 | 4 |
|     | 2 | N   | 2         | 1 | 1 | 1 | 2 | 3 |
|     | 3 | O   | 3         | 2 | 2 | 2 | 2 | 3 |
|     | 4 | W   | 4         | 3 | 3 | 3 | 3 | 3 |
|     | 5 | Y   | 5         | 4 | 4 | 4 | 4 | 3 |

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$

$$\text{diff}(i, j) = \begin{cases} 0, & x[i] = y[j] \\ 1, & \text{otherwise} \end{cases}$$

Space Requirements?

# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ | 0 |     | 0         | 1 | 2 | 3 | 4 | 5 |
|     | 1 | S   | 1         | 0 | 1 | 2 | 3 | 4 |
|     | 2 | N   | 2         | 1 | 1 | 1 | 2 | 3 |
|     | 3 | O   | 3         | 2 | 2 | 2 | 2 | 3 |
|     | 4 | W   | 4         | 3 | 3 | 3 | 3 | 3 |
|     | 5 | Y   | 5         | 4 | 4 | 4 | 4 | 3 |

$$E(i, j) = \min \begin{cases} E(i-1, j) + 1 \\ E(i, j-1) + 1 \\ E(i-1, j-1) + \text{diff}(i, j) \end{cases}$$

$$\text{diff}(i, j) = \begin{cases} 0, & x[i] = y[j] \\ 1, & \text{otherwise} \end{cases}$$

Space Requirements?

$n \times m$  table:  $O(nm)$

# Edit Distance

|     |   | $j$ | 0         | 1 | 2 | 3 | 4 | 5 |
|-----|---|-----|-----------|---|---|---|---|---|
|     |   |     | S U N N Y |   |   |   |   |   |
| $i$ | 0 |     | 0         | 1 | 2 | 3 | 4 | 5 |
|     | 1 | S   | 1         | 0 | 1 | 2 | 3 | 4 |
|     | 2 | N   | 2         | 1 | 1 | 1 | 2 | 3 |
|     | 3 | O   | 3         | 2 | 2 | 2 | 2 | 3 |
|     | 4 | W   | 4         | 3 | 3 | 3 | 3 | 3 |
|     | 5 | Y   | 5         | 4 | 4 | 4 | 4 | 3 |

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Space Requirements?

$n \times m$  table:  $O(nm)$

Can we do it in  $O(\min(n, m))$  space?

# Edit Distance

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|     |   |     | 0         | 1 | 2 | 3 | 4 | 5 |
| 0   |   |     | 0         | 1 | 2 | 3 | 4 | 5 |
| 1   | S |     | 1         | 0 | 1 | 2 | 3 | 4 |
| 2   | N |     | 2         | 1 | 1 | 1 | 2 | 3 |
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| 1   | S |     | 1 | 0 | 1 | 2 | 3 | 4 |
| 2   | N |     | 2 | 1 | 1 | 1 | 2 | 3 |
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| 1   | S |     | 1 | 0 | 1 | 2 | 3 | 4 |
| 2   | N |     | 2 | 1 | 1 | 1 | 2 | 3 |
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| $i$ | 0 |     | 0         | 1 | 2 | 3 | 4 | 5 |
|     | 1 | S   | 1         | 0 | 1 | 2 | 3 | 4 |
|     | 2 | N   | 2         | 1 | 1 | 1 | 2 | 3 |
|     | 3 | O   | 3         | 2 | 2 | 2 | 2 | 3 |
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| $i$ |   |     |           |   |   |   |   |   |
| 0   |   |     | 0         | 1 | 2 | 3 | 4 | 5 |
| 1   | S |     | 1         | 0 | 1 | 2 | 3 | 4 |
| 2   | N |     | 2         | 1 | 1 | 1 | 2 | 3 |
| 3   | O |     | 3         | 2 | 2 | 2 | 2 | 3 |
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